

APPENDIX D
(Revised 4/19/2021)
(Required of Applicants Seeking Eligibility for Customer-Sited and/or Off-Grid
Generation Facilities, Rhode Island-Located Remote Net Metered Facilities, and
Associated Aggregations)

Customer-sited, remote net metered, and off-grid Generation Facilities (see Section IV) physically located in Rhode Island may be certified as an Eligible Renewable Energy Resource if their NEPOOL GIS Certificates are created from the verified electrical generation of a single Rhode Island-sited Generation Unit, or by way of a Commission-certified aggregation of Generation Units located in Rhode Island that use the same generation technology. Please complete the following and attach documentation, as necessary to support all responses:

- D.1 For eligible aggregations, please identify the location(s) in Rhode Island of each Generation Unit in the aggregation (provide attachments if extra space is needed), or if the application is for a single Rhode Island-sited Generation Unit, please provide the name and location of the single Generation Unit site.

- D.2 Please propose procedures under which the aggregate Generation Unit(s) will operate ("Aggregation Agreement"). In accordance with RES Rules Section 2.6(H)(4), the proposed Aggregation Agreement shall contain the following information:

- (a) Name and contact information of the Aggregator (or single site) Owner, to which these regulations and stipulations of certification shall apply, and who shall be the initial owner of any NEPOOL GIS Certifications so certified;

Name and title: _____

Company: _____

Address

Phone: _____

Email: _____

- (b) Name, contact information, and qualifications of the Verifier. Qualifications shall include any information the applicant believes will assist the Commission in determining that the Verifier will accurately and efficiently carry out its duties. After receipt of the application, the Commission may require additional evidence of qualifications;

Name and title: _____

Company: _____

Address

Phone: _____

Email: _____

Qualifications: _____

- (c) A declaration of any and all business or financial relations between Aggregator (or single site) Owner and Verifier, which the Commission will use to evaluate the independence of the Verifier.¹

- (d) Please identify the type of technology that will be included in the aggregation (or single-site generation unit), and a statement that the aggregation will include only individual Generation Units that meet all the requirements of these regulations, for example physical location, vintage, etc. (All generators within the aggregation must be of the same technology and fuel type);

¹ Reasons for ruling that a Verifier is not sufficiently independent include, but are not limited to: i) If one entity owns, directly or indirectly, or if a natural person so owns, 10% or more of the voting stock or other equity interest in the other entity; ii) If 10% or more of the voting stock or other equity interests in both entities are owned, directly or indirectly, by the same entity or a natural person; or iii) If one entity is a natural person, and such entity or a member of such entity's immediate family is an officer, director, partner, employee or representative of the other entity.

- (e) Please describe the proposed operating procedures for the aggregation (or single-site generation unit), by which the Aggregation Owner shall ensure that individual Generation Units in the aggregation comply with all eligibility requirements and that the NEPOOL GIS Certificates created accurately represent generation;²

- (f) Please describe how the Verifier will be compensated for its services by the aggregator. In no instances will an aggregation be certified in which the Verifier is compensated in a manner linked to the number of NEPOOL GIS Certificates created by the aggregation;

- (g) Please confirm and describe how, no less frequently than quarterly, the Verifier will directly enter into the NEPOOL GIS the quantity of energy production in the applicable time period from each Generation Unit in the aggregation. The entry of generation data by the Verifier must be through an interface designated for this purpose by the NEPOOL GIS and in accordance with NEPOOL GIS Operating Rules applicable to Third-Party Meter Readers, and to which the Aggregation Owner shall not have access.³

² At a minimum, these procedures will: i) require a determination by the Aggregation Owner that the Generation Unit is in compliance with these Renewable Energy Standard rules and the Aggregation Agreement as approved by the Commission, and an independent determination by the Verifier that the Generation Unit exists; ii) require a meter reading procedure that allows the Verifier to read meters on the Generation Units; meter readings may be manual or remote and via the aggregators own system or via an independent system, but in all cases shall comply with NEPOOL GIS Operating Rules regarding metering; iii) require confirmation that Verifier will be entering the quantity of energy production in to the NEPOOL GIS system as described in paragraph (g) for NEPOOL GIS to create NEPOOL GIS Certificates; and iv) include a procedure for the Verifier to report to the Commission on the results of their verification process.

³ Such generation data shall not include any generation data from previous time periods, except as provided for in this section. Output of less than one MWh by any single Generation Unit within the aggregation may be applied to the entire aggregation's generation, and generation of the aggregation less than one full MWh may be applied to the subsequent quarter in accordance with NEPOOL GIS Operating Rules.

D.3 Applicant must acknowledge that:

(a) any changes to or deviations from the Aggregation Agreement will be considered a change in generator status, and will require recertification by the Commission;

- ← please check this box to acknowledge this requirement
- N/A or other (please explain)

(b) the Commission will be promptly notified of any changes to or deviations from the Aggregation Agreement; and

- ← please check this box to acknowledge this requirement
- N/A or other (please explain)

(c) in the event that notice of such changes or deviations is not promptly given, all Generation Units in the aggregation may be de-certified.

- ← please check this box to acknowledge this requirement
- N/A or other (please explain)

D.4 Applicant must certify that:

If the Generation Unit (or aggregation of generation units) is a Customer-sited (behind-the-meter) or Off-grid Generation Facility, as defined in RES Rules Sections 2.3(5) and 2.3(26), respectively, or a Customer-sited (grid connected) or Remote Net Metered (grid connected) Generation Facility, the associated Generation Attributes have not otherwise been, nor will be sold, retired, claimed or represented as part of electrical energy output or sales, or used to satisfy obligations in jurisdictions other than Rhode Island.

- ← please check this box to certify that this statement is true
- N/A or other (please explain)
