

RIPUC Use Only

Date Application Received: / / /
Date Review Completed: / / /
Date Commission Action: / / /
Date Commission Approved: / / /

**GIS Certification #:
131300**

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

**Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 9 - April 19, 2021)**

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION

Pursuant to the Renewable Energy Act

Title 810, Chapter 40, Subchapter 05, Part 2 et. seq. of the General Laws of Rhode Island

NOTICE:

- When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard [810-RICR-40-05-2 \(RES Rules\)](#) , and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission’s web site: www.ripuc.ri.gov/utilityinfo/res.html.
- Please submit one original of the completed Application Form, applicable Appendices, and all supporting documentation to the Commission at the following address:
Rhode Island Public Utilities Commission
Attn: Luly E. Massaro, Commission Clerk
89 Jefferson Blvd
Warwick, RI 02888
- Electronic submittals are also required and should be sent to Res.filings@puc.ri.gov.
- In addition to filing with the Commission, Applicants are required to send an electronic copy of the application and supporting documents to the service list located at <http://www.ripuc.ri.gov/utilityinfo/reslist.doc>
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to RES Rules Section 2.6(A)(3), the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application. All information submitted with the Application is considered to be a public record unless the Commission deems some portion of the application confidential after consideration under [Rules of Practice and Procedure 810-RICR-00-00-1](#), Section 1.3(H)(3). It is the applicant’s responsibility to request confidential treatment and to provide redacted copies to the Commission and the service list.
- Questions related to this Renewable Energy Resources Eligibility Form can be submitted to Res.filings@puc.ri.gov

SECTION I: Identification Information

1.1 Name of Generation Unit (sufficient for full and unique identification, and consistent with the Generation Unit name listed on the NEPOOL GIS, if currently listed):

West Shore Road - Warwick Solar Facility

1.2 Type of Certification being requested (note: if the Generation Unit has not yet achieved Commercial Operation, check Prospective Certification/Declaratory Judgement):

Standard Certification

Prospective Certification (Declaratory Judgment)

1.3 This Application includes: (Check *all and only* those that apply)

Appendix A: Authorized Representative Certification for Individual Owner

Appendix B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals, including Limited Liability Companies (LLC) *Note: Please refer to Section 6.1, Corporations, for required evidence certifying Authorized Representative.*

Appendix C: Existing Renewable Energy Resources

Appendix D: Special Provisions for Aggregators of Customer-sited, Off-grid Generation, or RI-sited Remote Net Metered Facilities

Appendix E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL

Appendix F: Fuel Source Plan for Eligible (including Unlisted) Biomass Fuels

1.4 Primary Contact Person

Name and title: **Roshni Mali, Manager**

Address: **675 Third Avenue 3004 New York, NY 10017**

Phone: **646-606-2208**

Email: **trading@captonapartners.com**

1.5 Backup Contact Person

Name and title: **Nigel Arkais, Senior Asset Manager**

Address: **675 Third Avenue 3004 New York, NY 10017**

Phone: **646-606-2208**

Email: **trading@captonapartners.com**

1.6 Authorized Representative (the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application):

Name and title: **Roshni Mali, Manager**

Company:

Address: **675 Third Avenue 3004 New York, NY 10017**

Phone: **646-606-2208**

Email: **trading@captonapartners.com**

Appendix A or B, or Corporate Authorization (as appropriate) completed and attached?

Yes No

1.7 Owner

Name and title: **Roshni Mali, Manager**

Company: **Captona-West Shore Rd-Warwick LLC**

Address: **675 Third Avenue 3004 New York, NY 10017**

Phone: **646-606-2208**

Email: **trading@captonapartners.com**

1.8 Owner business organization type (check one):

Individual

Partnership (including Limited Liability Company and other Non-Corporate Entities)

Corporation

Other:

1.9 Operator

Name and title: **Roshni Mali, Manager**

Company: **Captona LLC**

Address: **675 Third Avenue 3004 New York, NY 10017**

Phone: **646-606-2208**

Email: **trading@captonapartners.com**

1.10 Operational business organization type (check one):

Individual

Partnership (including Limited Liability Company and other Non-Corporate Entities)

Corporation

Other:

SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

- 2.1 NEPOOL GIS Identification Number (if assigned yet, along with appropriate MSS, NON or IMP designation): **131300**

For facilities enrolled in the RI Renewable Energy Growth Program: National Grid will provide the participant with an MSS ID.

- 2.2 Nameplate Capacity (list AC, and DC if applicable): **738.00** kW AC **1038.06** kW DC
- 2.3 Maximum Demonstrated Capacity (list AC, and DC if applicable): **738.00** kW AC **1038.06** kW DC

- 2.4 Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) – *per RES Rules Section 2.5*

- Direct Solar Radiation
- The wind
- Movement of or the latent heat of the ocean
- The heat of the earth
- Small hydro facilities
- Biomass facilities using Eligible Biomass Fuels (*per RES Rules Section 2.3(A)(7)*)
- Biomass facilities using unlisted biomass fuel (*per RES Rules Section 2.3(A)(7)(a)*)
- Fuel cells using a renewable resource referenced in this section

- 2.5 For small hydro facilities, please certify that the facility's aggregate capacity does not exceed 30 MW. – *per RES Rules Section 2.3(A)(32)*

- <-- check this box to certify that the above statement is true
- N/A

- 2.6 For small hydro facilities, please certify that the facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. – *per RES Rules Section 2.3(A)(32)*

- <-- check this box to certify that the above statement is true
- N/A

- 2.7 For biomass facilities: Appendix F completed and attached?

- Yes (Please specify fuel or fuels used or to be used in the unit:)
- N/A

- 2.8 Has the Generation Unit been certified as a Renewable Energy Resource for eligibility in another state's renewable portfolio standard?

- Yes
- No

If "Yes," a copy of each state's certifying order is attached?

- <-- check this box to certify that the above statement is true

SECTION III: Commercial Operation Date>

Please provide documentation to support all claims and responses to the following questions:

- 3.1 Date Generation Unit first entered Commercial Operation or, if not yet in operation, the anticipated Commercial Operation Date:

12/13/2018

If the Commercial Operation date is after December 31, 1997, please provide independent verification, such as the utility log or metering data, showing that the meter first spun after December 31, 1997. For facilities located in Rhode Island, a copy of National Grid's Authorization to Interconnect letter would also be sufficient. This documentation is needed in order to verify that the facility qualifies as a New Renewable Energy Resource.

Documentation of Commercial Operation Date attached?

Yes

No

N/A

- 3.2 Is there an Existing Renewable Energy Resource located at the site of Generation Unit?

Yes

No

- 3.3 If the date entered in response to question 3.1 is on or earlier than December 31, 1997 or if you checked "Yes" in response to question 3.2 above, please complete Appendix C. Appendix C completed and attached?

Yes

No

N/A

- 3.4 Was all or any part of the Generation Unit used on or before December 31, 1997 to generate electricity at any other site?

Yes

No

- 3.5 If you checked "Yes" to question 3.4 above, please specify the power production equipment used and the address where such power production equipment produced electricity (attach more detail if the space provided is not sufficient):

SECTION IV: Metering

4.1 Please indicate how the Generation Unit's electrical energy output is verified:

ISO-NE Market Settlement System

Other, including Self-Reported to the NEPOOL GIS Administrator (please specify below and complete Appendix D):

Utilizing a site-installed Elkor Production Meter

For "Other," Appendix D completed and attached?

Yes

No

N/A

For facilities enrolled in the RI Renewable Energy Growth Program: National Grid will be reporting output to the ISO-NE Market Settlement System.

4.2 Please check one of the following that apply to the Generation Unit:

Grid Connected Generation

- Connected directly to a utility transmission or distribution system with only station load at the unit site
- Units participating in the RI Renewable Energy Growth Program fall in this category.

Off-Grid Generation

- Not connected to a utility transmission or distribution system

Customer-Sited Generation

- Connected on the end-use customer side of a retail electricity meter in such a manner that it displaces all or part of the metered consumption of the end-use customer, other than station load
- Traditional behind-the-meter net metering falls in this category.
- Units located outside Rhode Island with this configuration will be deemed ineligible by PUC (see RES Rules Section 2.6(H)(1) (see also Order No. 23710,

<http://www.ripuc.ri.gov/eventsactions/docket/4858-4891-Kearsarge%20Ord23710%2011-12-2019.pdf>

Remote Customer-Sited Generation

- Connected directly to the local electric utility distribution grid with only station load
- All or some of the electrical energy from the unit is designated for use in displacing all or part of the retail electricity metered consumption of one or more end-use customers (including through a transfer of bill credits)
- "Virtual" and "remote" front-of-the-meter net metering falls in this category.
- Units located outside Rhode Island with this configuration have been found ineligible by the PUC (see Order 23710,

<http://www.ripuc.ri.gov/eventsactions/docket/4858-4891-Kearsarge%20Ord23710%2011-12-2019.pdf>

SECTION V: Location

5.1 Generation Unit address:

West Shore Road Warwick, RI 02886

5.2 Please provide the Generation Unit's geographic location information:

A. Universal Transverse Mercator Coordinates: **19T 296070.119 4619511.954**

B. Longitude/Latitude: **41.70127/-71.45088**

5.3 The Generation Unit is located: (please check the appropriate box)

In the NEPOOL control area

In a control area adjacent to the NEPOOL control area

In a control area other than NEPOOL which is not adjacent to the NEPOOL control area <-- *If you checked this box, then the generator is ineligible.*

5.4 If you checked "In a control area adjacent to the NEPOOL control area" in Section 5.4 above, please complete Appendix E.

Appendix E completed and attached?

Yes

No

N/A

SECTION VI: Certification

- 6.1 Please attach documentation, using one of the applicable forms below, to demonstrate the authority of the Authorized Representative provided in Section 1.6.

Corporations

The Authorized Representative of the Corporation shall provide **either**:

- (a) Evidence of a Board of Directors' vote granting authority to the Authorized Representative to execute the Renewable Energy Resources Eligibility Form, **or**
- (b) A certification from the Corporate Clerk or Secretary of the Corporation that the Authorized Representative is authorized to execute the Renewable Energy Resources Eligibility Form or is otherwise authorized to legally bind the Corporation in like matters.¹
- Evidence of Board Vote provided?

- Yes
 No
 N/A

Corporate Certification provided?

- Yes
 No
 N/A

Individuals

If the Owner is an Individual, that Individual shall complete and attach Appendix A, or a similar form of certification from the Owner, duly notarized, that certifies that the Authorized Representative has authority to execute the Renewable Energy Resources Eligibility Form.

Appendix A completed and attached?

- Yes
 No
 N/A

Non-Corporate Entities

(Limited Liability Companies - LLCs, Proprietorships, Partnerships, Cooperatives, etc.) If the Owner is neither an Individual nor a Corporation, it shall complete and attach Appendix B or execute a resolution indicating that the Authorized Representative named in Section 1.6 has authority to execute the Renewable Energy Resources Eligibility Form or to otherwise legally bind the non-corporate entity in like matters.

Appendix B completed and attached?

- Yes No N/A

¹ If the Corporation has only one sole Officer, it is acceptable for that Officer to provide signatory certification of same as Authorized Representative.

6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with RES Rules Section 2.6(E). I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Rules and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

SIGNATURE: **Signed Electronically**

DATE: **2023-02-22 09:30:40**

Roshni Mali

(Printed Name of Signatory)

Manager

(Title)

(Company)

GIS Certification #:
131300

APPENDIX B
(Revised 4/19/2021)
(Required When Owner is a Non-Corporate Entity
Other Than An Individual)

RESOLUTION OF AUTHORIZATION

Resolved: that **Roshni Mali, Manager of** , named in Section 1.6 of the Renewable Energy Resources Eligibility Form as Authorized Representative, is authorized to execute the Application on the behalf of **Captona-West Shore Rd-Warwick LLC**, the Owner named in Section 1.7 of the Generation Unit named in Section 1.1 of the Application.

SIGNATURE: *Roshni Mali*

DATE: 2/21/2023

Roshni Mali
(Printed Name of Signatory)

Manager
(Title)

Captona-West Shore Rd-Warwick LLC
(Company)

State: New York

County: New York

(TO BE COMPLETED BY NOTARY) I, Evelyn Wang as a notary public, certify that I witnessed the signature of the above named **Roshni Mali**, and said individual verified his/her identity to me on this date: 2/21/2023.

SIGNATURE: *Evelyn Wang*

My commission expires on: 2/24/2024

NOTARY SEAL:

Evelyn H Wang
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01WA6404673
Qualified in Queens County
Commission Expires 02/24/2024

GENERATOR INFORMATION

NEPOOL Generator:	No
GIS Unit ID:	131300
Plant Name:	<input type="text"/>
Unit Name: *	<input type="text" value="West Shore Road - Warwick Solar Facility"/>
Status:	Approved
Name Plate Capacity: *	<input type="text" value="1.038"/> (MW)
Location of generating unit: *	<input type="text" value="New England (ISO New England Control Area)"/> ▼
City: *	<input type="text" value="Warwick"/>
State: *	<input type="text" value="RHODE ISLAND"/> ▼
Is this an aggregation? (Does this registration represent two or more behind-the-meter generators?):	<input type="checkbox"/> (check for yes)

LABOR CHARACTERISTICS

Majority of employees operating at generation plant are employed under collective bargaining agreement:	<input type="checkbox"/> (check for yes)
If generating plant experienced a labor dispute in the most recent calendar year, replacement workers were used:	<input type="checkbox"/> (check for yes)

VINTAGE

Vintage (month and year of commercial operation): *	<input type="text" value="10/2018"/> (format: MM/YYYY)
Repowering/derate date:	<input type="text"/> (format: MM/YYYY)
Capacity addition/subtraction:	<input type="text"/> (MW)
Refurbishment date:	<input type="text"/> (format: MM/YYYY) (Relevant to Maine RPS)
Date Operation Recommended after at Least Two Years of Not Operating:	<input type="text"/> (format: MM/YYYY) (Relevant to Maine RPS)
Date recognized by System Operators as capacity resource after not being recognized as a capacity resource for at least two years:	<input type="text"/> (format: MM/YYYY) (Relevant to Maine RPS)
FERC hydroelectric license relicensing date:	<input type="text"/> (format: MM/YYYY)

EMISSIONS REPORTING

CEM Reporting:	<input type="checkbox"/> (check for yes)
Ability to Cogenerate Electricity and Steam:	<input type="checkbox"/> (check for yes)

ORIS PL:

(1 - 6 numeric characters)

Emissions Unit ID(s):

(1 - 6 alphanumeric characters, separate multiple ids with semicolons)

Peer unit name and address (if not reporting actual generator emissions):

Fuel Type: *

Single Fuel Multi Fuel
Solar Photovoltaic

* Required Field

[Privacy Policy](#)



Roshni Mali <roshni@captonapartners.com>

Fwd: RI Complex Application 00176384 - 3550 W SHORE RD, WARWICK- Authorization to Interconnect – 780kW (RI)

Izzet <izzet@captonapartners.com>
To: Roshni Mali <roshni@captonapartners.com>

Thu, Dec 13, 2018 at 3:57 PM

Izzet Bensusan
Managing Partner
W:646 291 2858
C: 917 450 9933

Begin forwarded message:

From: Customer Application Portal <cap@nationalgrid.com>
Date: December 13, 2018 at 3:33:51 PM EST
To: "izzet@captonapartners.com" <izzet@captonapartners.com>, "accounting@captonapartners.com" <accounting@captonapartners.com>
Cc: "cs@masscec.com" <cs@masscec.com>
Subject: RI Complex Application 00176384 - 3550 W SHORE RD, WARWICK- Authorization to Interconnect – 780kW (RI)



Greetings,

National Grid has received all required documentation regarding your 780 kW/AC PV system located at [3550 W SHORE RD, WARWICK, RI, 02886](#). Your system is now authorized to interconnect to and operate in parallel with the National Grid electric power system.

This authorization is based upon the Facility as described in the fully executed Interconnection Service Agreement dated 10/30/2018 and all related documentation. Please note that your obligation to report any proposed changes to the Facility (e.g., Facility ownership, type of technology, Facility equipment, etc.) is governed by all applicable tariffs and the rules and regulations of the Public Utilities Commission, including but not limited to the Company's Interconnection Tariff.

Please submit any changes to system ownership to:
Distributed.Generation@nationalgrid.com

Any changes to ownership information should be reported immediately to ensure that our records are up-to-date, that net metering credits are allocated appropriately, and legal and emergency notifications are issued

correctly. Changes to ownership that need to be reported include, but are not limited to:

- A sale of the generating system to a third party owner
- A sale of the property at which the generating system is located (e.g. a new homeowner is moving into a house that hosts a net metered system)
- A change in the responsible party for the billing account (e.g. business mergers where the name of the business changes).

Please refer to National Grid's First Bill Walk Through for information about what to expect on your first bill with net metering credits: http://www.nationalgridus.com/non_html/MA_DG_First_Bill.pdf.

If you experience any billing issues, or need to update a Schedule B, please contact National Grid:

- E-Mail: commercial.accounts@nationalgrid.com
- Customer Service: (800) 322-3223

If you have elected to allocate net metering credits to other accounts, be advised that net metering credits may not be applied from the Host Account (where the net meter is located) to the recipient account until the following billing cycle. Account(s) receiving credits may need to wait an additional billing cycle before the net metering credits appear.

National Grid wishes you the best with your new system and hopes you get many productive years of use.

Sincerely,
National Grid

CASE-RI Complex NM Authority to Interconnect

ref: 5000W00001Cxj5F



**Commonwealth of Massachusetts
Executive Office of Energy and Environmental Affairs
DEPARTMENT OF ENERGY RESOURCES**

STATEMENT OF QUALIFICATION

**Pursuant to the Renewable Energy Portfolio Standard – Class I
225 CMR 14.00**

This Statement of Qualification, provided by the Massachusetts Department of Energy Resources (DOER or the Department), signifies that the Generation Unit identified below, as described in a Statement of Qualification Application dated 07/27/2018 (SQA ID # 17051), meets the requirements for eligibility as an RPS Class I Renewable Generation Unit, pursuant to the Renewable Energy Portfolio Standard – Class I, 225 CMR 14.05. Therefore, this Generation Unit is duly qualified as an RPS Class I Renewable Generation Unit.

Generation Unit Name, Capacity,
and Location:

**Southern Sky Renewable Energy RI-West Shore Rd-
Warwick, LLC
01.0400 MW
Warwick, RI**

Authorized Representative's
Name and Address:

**Roshni Mali
Southern Sky Renewable Energy RI-West Shore Rd-
Warwick, LLC
675 THIRD AVE SUITE 3004
New York, NY 10017**

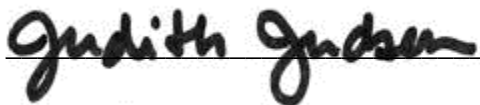
This RPS Class I Renewable Generation Unit is assigned a unique Massachusetts RPS Identification Number, listed below. Please include the ID number on all correspondence with DOER.

MA RPS Class I ID #: SL-1739-18

This Unit's RPS Effective Date is: **10/31/2018**

This Unit's NEPOOL GIS Generation Unit Asset Identification Number is: **NON131300**

The Qualification of this Generation Unit is subject to all applicable provisions in 225 CMR 14.00, including but not limited to the following. Pursuant to 225 CMR 14.06(5) and (6), the Owner or Operator of the Unit is obligated to notify DOER of any changes in the characteristics of the Unit that could affect its eligibility status, as well as any changes in the Unit's ownership, generation capacity, Third Party Meter Reader (a.k.a. Independent Verifier), or contact information. DOER may suspend or revoke this Statement of Qualification if the Owner or Operator fails to comply with 225 CMR 14.00, including the provisions of this Statement of Qualification.



Date: **February 1, 2019**

Judith F. Judson
Commissioner
Department of Energy Resources