RIPUC Use Only	GIS Certification #:
	GIS Certification #
Date Application Received://	
Date Review Completed: / /	
Date Commission Action: / /	
Date Commission Approved: / /	

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 4 – November 7, 2006)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: www.ripuc.org/utilityinfo/res.html. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- · Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

Rhode Island Public Utilities Commission 89 Jefferson Blvd Warwick, RI 02888

Attn: Renewable Energy Resources Eligibility

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at www.ripuc.org/utilityinfo/res.html.
- · Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

SECTION I: Identification Information

1.1	Name of Generation Unit (sufficient for full and unique identification): Vernon Station
1.2	Type of Certification being requested (check one):
	X Standard Certification
1.3	This Application includes: (Check all that apply) ¹
	☐ APPENDIX A: Authorized Representative Certification for Individual Owner or Operator
	☐ APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals
	☐ APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities
	☐ APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area Adjacent to NEPOOL
	☐ APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels
1.4	Primary Contact Person name and title: Michael E. Hachey Director, Eastern Commercial
1.5	Primary Contact Person address and contact information: Address: TransCanada Power Marketing Ltd. Suite 203 110 Turnpike Road Westborough, MA 01581
	Phone: 508-871-1852 Fax: 508-898-0433 Email: mike_hachey@transcanada.com
1.6	Backup Contact Person name and title: Richard Schuler Senior Analyst
1.7	Backup Contact Person address and contact information: Address: TransCanada Power Marketing Ltd. Suite 203 110 Turnpike Road Westborough, MA 01581

¹ Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

Phone: 508-871-1853 Fax: 508-898-0433 Email: richard schuler@transcanada.com

Michael E. Hachey

1.8 Name and Title of Authorized Representative (i.e., the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application):

Director, Eastern Commercial 1.9 Authorized Representative address and contact information: Address: -as before-Phone: Fax: Email: 1.10 Owner name and title: TransCanada Hydro Northeast Inc. 1.11 Owner address and contact information: Suite 203 Address: 110 Turnpike Road Westborough, MA 01581 Phone: 508-871-1852 Fax: 508-898-0433 Email: mike hachey@transcanada.com 1.12 Owner business organization type (check one): Individual Partnership ☐ X Corporation Other: 1.13 Operator name and title: TransCanada Hydro Northeast Inc. Operator address and contact information: 1.14 Address: as before Email:

1.15	Operat	tor business organization type (check one):
		Individual
		Partnership
	\Box X	Corporation
		Other:

SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

2.1	ISO-NE Generation Unit Asset Identification Number or NEPOOL GIS Identification Number (either or both as applicable): 599	er
2.2	Generation Unit Nameplate Capacity: 16MW (effective during 2007; station repowering not service until 2008)	in
2.3	Maximum Demonstrated Capacity: 20.79 MW	
2.4	Please indicate which of the following Eligible Renewable Energy Resources are used by the Generation Unit: (Check ALL that apply) — per RES Regulations Section 5.0 □ Direct solar radiation □ The wind □ Movement of or the latent heat of the ocean □ The heat of the earth □ X Small hydro facilities □ Biomass facilities using Eligible Biomass Fuels and maintaining compliance with all aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multi-fuel facilities shall be considered eligible. □ Biomass facilities using unlisted biomass fuel □ Biomass facilities, multi-fueled or using fossil fuel co-firing	;
	☐ Biomass facilities, multi-fueled or using fossil fuel co-firing ☐ Fuel cells using a renewable resource referenced in this section	
2.5	If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the facility's aggregate capacity does not exceed 30 MW. − per RES Regulations Section 3.31 X ← check this box to certify that the above statement is true □ N/A or other (please explain)	
2.6	If the box checked in Section 2.4 above is "Small hydro facilities", please certify that the facility does not involve any new impoundment or diversion of water with an average salinity of twenty (20) parts per thousand or less. − per RES Regulations Section 3.31 X ← check this box to certify that the above statement is true □ N/A or other (please explain)	у
2.7	If you checked one of the Biomass facilities boxes in Section 2.1 above, please respond to the following:	е
	A. Please specify the fuel or fuels used or to be used in the Unit:	
	B. Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan. Appendix F completed and attached? Yes No N/A	

2.8	another state's renewable portfolio standard? Yes X No If yes, please attach a copy of that state's certifying order.
	Copy of State's certifying order attached? ☐ Yes ☐ No x N/A
SEC	FION III: Commercial Operation Date
Pleas	e provide documentation to support all claims and responses to the following questions:
3.1	Date Generation Unit first entered Commercial Operation://1909 at the site.
3.2	Is there an Existing Renewable Energy Resource located at the site of Generation Unit?
	□ X Yes □ No
3.3	If the date entered in response to question 3.1 is earlier than December 31, 1997 or if you checked "Yes" in response to question 3.2 above, please complete Appendix C.
	Appendix C completed and attached? X Yes □ No □ N/A
3.4	Was all or any part of the Generation Unit used on or before December 31, 1997 to generate electricity at any other site?
	☐ Yes ☐ X No
3.5	If you checked "Yes" to question 3.4 above, please specify the power production equipment used and the address where such power production equipment produced electricity (attach more detail if the space provided is not sufficient):
SEC	ΓΙΟΝ IV: Metering
4.1	Please indicate how the Generation Unit's electrical energy output is verified (check all that apply): ☐ X ISO-NE Market Settlement System ☐ Self-reported to the NEPOOL GIS Administrator ☐ Other (please specify below and see Appendix D: Eligibility for Aggregations):
	Appendix D completed and attached? □ Yes □ No X N/A

SECTION V: Location

5.1	Please check one of the following th	at apply to the Genera	tion	Unit:			
	 □ X Grid Connected Generation □ Off-Grid Generation (not connected Generation (interpretation) □ Customer Sited Generation (interpretation) the end-use customer) 	rconnected on the end-	-use	custor	ner side (of the retail	
5.2	Generation Unit address:	Vernon Station 152 Governor Hunt F Vernon, VT 05354	Road	1			
5.3	Please provide the Generation Unit's	s geographic location i	info	matio	n:		
	A. Universal Transverse Mercat	or Coordinates: UTM	[18	70340)7E 47384	403N (NAD83/WGS8	4)
L	ongitude/Latitude: 42.7717 Nort B. 72.5133 East						
5.4	The Generation Unit located: (please	e check the appropriate	e bo	x)			
	 □ X In the NEPOOL control area □ In a control area adjacent to the I □ In a control area other than NEPour checked this box, then the please do not complete/submit the 	OOL which is not adja generator does not qu	acen				
5.5	If you checked "In a control area adj please complete Appendix E.	acent to the NEPOOL	cor	itrol ar	ea" in Se	ection 5.4 above,	
	Appendix E completed and attach	ed?		Yes	□ No	X N/A	

SECTION VI: Certification

6.1 Applie	Please attach documentation, using one of the applicable forms below, demonstrating the authority of the Authorized Representative indicated in Section 1.8 to certify and submit this lication.					
	Corporations					
	If the Owner or Operator is a corporation, the Authorized shall provide either:	Repr	esent	ative		
	(a) Evidence of a board of directors vote granting authoriexecute the Renewable Energy Resources Eligibility	-		uthorize	d Representa	tive to
-	(b) A certification from the Corporate Clerk or Secretary of the Corporation that the Authorized Representative is authorized to execute the Renewable Energy Resources Eligibility Form or is otherwise authorized to legally bind the corporation in like matters.					
	Evidence of Board Vote provided? X Yes D No D N/A					
	Corporate Certification provided?		Yes	□ No	o 🗆 N/A	
	<u>Individuals</u>					
	If the Owner or Operator is an individual, that individual attach APPENDIX A, or a similar form of certification fr Operator, duly notarized, that certifies that the Authorized authority to execute the Renewable Energy Resources Elication	om th d Rep	e Ow resen	ner or tative ha		
	Appendix A completed and attached?		Yes	□ No	X N/A	
	Non-Corporate Entities					
	(Proprietorships, Partnerships, Cooperatives, etc.) If the or a corporation, it shall complete and attach APPENDIX that the Authorized Representative named in Section 1.8 Renewable Energy Resources Eligibility Form or to other entity in like matters.	B or has at	execu athori	ite a res	olution indicated	ating

Appendix B completed and attached?

☐ Yes ☐ No X N/A

6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:

SIGNATURE:	DATE:
	5/14/07
V.P.	,
(Title)	

APPENDIX C

(Required of all Applicants with Generation Units at the Site of Existing Renewable Energy Resources)

STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISION

RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

(2) is 1	Generation Unit: (1) first entered into commercial operation before Decolocated at the exact site of an Existing Renewable Energy Resource, ping and attach documentation, as necessary to support all responses:		
C.1	Is the Generating Unit seeking certification, either in whole or in Renewable Energy Resource?	n part, as	
C.2	If you answered "Yes" to question C.1, please complete the remainder you answered "No" and are seeking certification entirely as an Exercise Resource, you do NOT need to complete the remainder of Appe	xisting Re	
C.3	If an Existing Renewable Energy Resource is/was located at the site, Renewable Energy Resource been retired and replaced with the new the same site?		_
C.4	Is the Generation Unit a Repowered Generation Unit (as defined in SRES Regulations) which uses Eligible Renewable Energy Resource entered commercial operation after December 31, 1997 at the significant Unit?	es and wh	ich first
C.5	If you checked "Yes" to question C.4 above, please provide docume that the entire output of the Repowered Generation Unit first er operation after December 31, 1997.		
C.6	Is the Generation Unit a multi-fuel facility in which an Eligible Bioma fired with fossil fuels after December 31, 1997?	ass Fuel is	first co-

C./		e renewable energy fraction of the energy output first occurred after December	-
C.8		Generation Unit an Existing Renewable Energy Resource other than an Intermit rce (as defined in Section 3.9 and 3.14 of the RES Regulations)? Yes 1	
C.9	investraddition increase 3.22.v based	checked "Yes" to question C.8 above, please attach evidence of completed cap ments after December 31, 1997 attributable to efficiency improvements ons of capacity that are sufficient to, were intended to, and can be demonstrate se annual electricity output in excess of ten percent (10%). As specified in Sec of the RES Regulations, the determination of incremental production shall no on any operational changes at such facility not directly associated with ency improvements or additions of capacity.	or d to tion t be
C.10	Is the Resour	Generating Unit an Existing Renewable Energy Resource that is an Intermit rce?	
C.11	investi addition norma determ such f capaci Genera capaci	checked "Yes" to question C.10 above, please attach evidence of completed capments after December 31, 1997 attributable to efficiency improvements ons of capacity that are sufficient to, were intended to, and have demonstrated calized basis to increase annual electricity output in excess of ten percent (10%). Inination of incremental production shall not be based on any operational change facility not directly associated with the efficiency improvements or additionalty. In no event shall any production that would have existed during the Historiation Baseline period in the absence of the efficiency improvements or additionalty be considered incremental production. Please refer to Section 3.22.vi of Regulations for further guidance.	or an an The es at so of ical s to
C.12	deeme placed	checked "Yes" to C.10, provide the single proposed percentage of production to ed incremental, attributable to the efficiency improvements or additions of capal in service after December 31, 1997. Please provide backup information suffice Commission to make a determination of this incremental production percentage	city ient
C.13	If you	checked "no" to both C.3 and C.4 above, please complete the following:	
	a.	Was the Existing Renewable Energy Resource located at the exact site at any t during calendar years 1995 through 1997?	
	b.	If you checked "yes" in Subsection (a) above, please provide the Generation Unit. Asset Identification Number and the average annual electrical production (MW for the three calendar years 1995 through 1997, or for the first 36 months after Commercial Operation Date if that date is after December 31, 1994, for each s Generation Unit.	hs) the

c. Please attach a copy of the derivation of the average provided in (b) above, along with documentation support (such as ISO reports) for the information provided in Subsection (b) above. Data must be consistent with quantities used for ISO Market Settlement System.

ANNUAL RESOLUTION OF THE DIRECTORS OF TRANSCANADA POWER MARKETING LID. (the "Corporation") DATED THE 20TH DAY OF OCTOBER, 2006 PURSUANT TO SECTION 4.5 OF THE CORPORATION'S BY-LAWS

APPOINTMENT OF OFFICERS

BE IT RESOLVED that the following persons are hereby appointed to the positions set forth below opposite their respective names, to hold such positions during the pleasure of the board or until their successors are duly appointed:

Alexander J. Pourbaix
Michael E. Hachey
William C. Taylor
Donald R. Marchand
Garry E. Lamb
Ronald L. Cook
Donald J. DeGrandis
John B Cashin

President
Vice-President
Vice-President
Vice-President, Finance and Treasurer
Vice-President, Risk Management
Vice-President, Taxation
Secretary
Assistant Secretary

The undersigned, being all of the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

M.E. HACHEY

W.C. TAYLOR

RESOLUTION IN WRITING OF THE DIRECTORS OF TRANSCANADA HYDRO NORTHEAST INC. (the "Corporation") EFFECTIVE JULY 14, 2005

APPOINTMENT OF ADDITIONAL OFFICER

BE IT RESOLVED that Michael E. Hachey is hereby appointed to the position of Vice-President, effective immediately, to hold such position during the pleasure of the board or until his successor is duly appointed.

For greater certainty, the officers of the Corporation now are:

President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President, Taxation
Vice-President, Finance and Treasurer

Alexander J. Pourbaix
Sean D. McMaster
William C. Taylor
Michael E. Hachey
Ronald L. Cook
Donald R. Marchand

The undersigned, being the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

M.E. HACHE

A.J. POURBAIX

W.C. TAYLOR

RESOLUTION IN WRITING OF THE DIRECTORS OF TRANSCANADA HYDRO NORTHEAST INC. (the "Corporation") EFFECTIVE JULY 14, 2005

APPOINTMENT OF ADDITIONAL OFFICER

BE IT RESOLVED that Michael E. Hachey is hereby appointed to the position of Vice-President, effective immediately, to hold such position during the pleasure of the board or until his successor is duly appointed.

For greater certainty, the officers of the Corporation now are:

President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President
Vice-President, Taxation
Vice-President, Finance and Treasurer

Alexander J. Pourbaix
Sean D. McMaster
William C. Taylor
Michael E. Hachey
Ronald L. Cook
Vice-President, Finance and Treasurer

Donald R. Marchand

The undersigned, being the directors of the Corporation, hereby consent to the passing of the foregoing resolution.

M.E. HACHEY

A.J. POURBAK

W.C. TAYLOR