RIPUC Use Only		GIS Certification #:
Date Application Received:/	/	
Date Review Completed: /		
Date Commission Action: /		
Date Commission Approved: /		

#### RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

The Standard Application Form

Required of all Applicants for Certification of Eligibility of Renewable Energy Resource
(Version 5 – January 5, 2007)

## STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISSION Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

#### NOTICE:

When completing this Renewable Energy Resources Eligibility Form and any applicable Appendices, please refer to the State of Rhode Island and Providence Plantations Public Utilities Commission Rules and Regulations Governing the Implementation of a Renewable Energy Standard (RES Regulations, Effective Date: January 1, 2006), and the associated RES Certification Filing Methodology Guide. All applicable regulations, procedures and guidelines are available on the Commission's web site: <a href="www.ripuc.org/utilityinfo/res.html">www.ripuc.org/utilityinfo/res.html</a>. Also, all filings must be in conformance with the Commission's Rules of Practice and Procedure, in particular, Rule 1.5, or its successor regulation, entitled "Formal Requirements as to Filings."

- · Please complete the Renewable Energy Resources Eligibility Form and Appendices using a typewriter or black ink.
- Please submit one original and three copies of the completed Application Form, applicable Appendices and all supporting documentation to the Commission at the following address:

Rhode Island Public Utilities Commission 89 Jefferson Blvd Warwick, RI 02888 Attn: Renewable Energy Resources Eligibility

In addition to the paper copies, electronic/email submittals are required under Commission regulations. Such electronic submittals should be sent to: Luly E. Massaro, Commission Clerk at lmassaro@puc.state.ri.us

- In addition to filing with the Commission, Applicants are required to send, electronically or electronically and in paper format, a copy of the completed Application including all attachments and supporting documentation, to the Division of Public Utilities and Carriers and to all interested parties. A list of interested parties can be obtained from the Commission's website at <a href="https://www.ripuc.org/utilityinfo/res.html">www.ripuc.org/utilityinfo/res.html</a>.
- Keep a copy of the completed Application for your records.
- The Commission will notify the Authorized Representative if the Application is incomplete.
- Pursuant to Section 6.0 of the RES Regulations, the Commission shall provide a thirty (30) day period for public comment following posting of any administratively complete Application.
- Please note that all information submitted on or attached to the Application is considered to be a public record unless the Commission agrees to deem some portion of the application confidential after consideration under section 1.2(g) of the Commission's Rules of Practice and Procedure.
- In accordance with Section 6.2 of the RES Regulations, the Commission will provide prospective reviews for Applicants seeking a preliminary determination as to whether a facility would be eligible prior to the formal certification process described in Section 6.1 of the RES Regulations. Please note that space is provided on the Form for applicant to designate the type of review being requested.
- Questions related to this Renewable Energy Resources Eligibility Form should be submitted in writing, preferably via email and directed to: Luly E. Massaro, Commission Clerk at <a href="massaro@puc.state.ri.us"><u>lmassaro@puc.state.ri.us</u></a>

#### **SECTION I: Identification Information**

1.1	Name of Generation Unit (sufficient for full and unique identification):  Munnsville Wind Farm
1.2	Type of Certification being requested (check one):  Standard Certification  Prospective Certification (Declaratory Judgment)
	Ja Standard Certification a Prospective Certification (Deciaratory Judgment)
1.3	This Application includes: (Check all that apply) <sup>1</sup>
	☐ APPENDIX A: Authorized Representative Certification for Individual Owner or Operator
	☐ APPENDIX B: Authorized Representative Certification for Non-Corporate Entities Other Than Individuals
	☐ APPENDIX C: Existing Renewable Energy Resources
	APPENDIX D: Special Provisions for Aggregators of Customer-sited or Off-grid Generation Facilities
	APPENDIX E: Special Provisions for a Generation Unit Located in a Control Area
	Adjacent to NEPOOL
	☐ APPENDIX F: Fuel Source Plan for Eligible Biomass Fuels
1.4	Primary Contact Person name and title: Audrey Fogarty  Manager, Trading & Marketing
1.5	Primary Contact Person address and contact information:
	Address: Airtricity, Inc
	812 San Antonio Street, Suite 201, Austin, TX 78701
	Phone: (512) 482 4032 Fax: (512) 494 9581 Email: audrey.fogarty@airtricity.com
1.6	Backup Contact Person name and title: John Franklin Vice President, Operations
1.7	Backup Contact Person address and contact information: Address: Airtricity, Inc
	812 San Antonio Street, Suite 201, Austin, TX 78701
	Phone: (512) 482 4042 Fax: (512) 494 9581
	Email: john.franklin@airtricity.com

<sup>&</sup>lt;sup>1</sup> Please note that all Applicants are required to complete the Renewable Energy Resources Eligibility Standard Application Form and all of the Appendices that apply to the Generation Unit or Owner or Operator that is the subject of this Form. Please omit Appendices that do not apply.

1.8	Name and Title of Authorized Representative (i.e., the individual responsible for certifying the accuracy of all information contained in this form and associated appendices, and whose signature will appear on the application): John Franklin Vice President, Operations
	Appendix A or B (as appropriate) completed and attached? ☐ Yes ☐ No ☐ N/A
1.9	Authorized Representative address and contact information: Address: Airtricity, Inc 812 San Antonio Street, Suite 201, Austin, TX 78701
	Phone: (512) 482 4042 Fax: (512) 494 9581 Email: john.franklin@airtricity.com
1.10	Owner name and title: Airtricity Munnsville Wind Farm, LLC
1.11	Owner address and contact information: Address: Airtricity Munnsville Wind Farm, LLC 812 San Antonio Street, Suite 201, Austin, TX 78701
	Phone: (512) 482 4042 Fax: (512) 494 9581 Email: john.franklin@airtricity.com
1.12	Owner business organization type (check one):  Individual Partnership Corporation Other:
1.13	Operator name and title: Airtricity Munnsville Wind Farm, LLC
1.14	Operator address and contact information: Address:  Airtricity Munnsville Wind Farm, LLC 812 San Antonio Street, Suite 201, Austin, TX 78701
	Phone: (512) 482 4042 Fax: (512) 494 9581 Email: john.franklin@airtricity.com
1.15	Operator business organization type (check one):  Individual Partnership Corporation Other:

#### SECTION II: Generation Unit Information, Fuels, Energy Resources and Technologies

2.1		NE Generation Unit Asset Identification Number or NEPOOL GIS Identification per (either or both as applicable): <b>IMP32637</b>		
2.2	Gener	ration Unit Nameplate Capacity: 34.5 MW		
2.3	Maxir	num Demonstrated Capacity: 34.5 MW		
2.4	Please indicate which of the following Eligible Renewable Energy Resources are used the Generation Unit: (Check ALL that apply) – per RES Regulations Section 5.0  Direct solar radiation  The wind  Movement of or the latent heat of the ocean  The heat of the earth  Small hydro facilities  Biomass facilities using Eligible Biomass Fuels and maintaining compliance with aspects of current air permits; Eligible Biomass Fuels may be co-fired with fossil fuels, provided that only the renewable energy fraction of production from multifacilities shall be considered eligible.  Biomass facilities using unlisted biomass fuel  Biomass facilities, multi-fueled or using fossil fuel co-firing  Fuel cells using a renewable resource referenced in this section			
2.5		box checked in Section 2.4 above is "Small hydro facilities", please certify that the y's aggregate capacity does not exceed 30 MW. − per RES Regulations Section                           ← check this box to certify that the above statement is true		
2.6	facility	box checked in Section 2.4 above is "Small hydro facilities", please certify that the y does not involve any new impoundment or diversion of water with an average y of twenty (20) parts per thousand or less. – per RES Regulations Section 3.31  — check this box to certify that the above statement is true  N/A or other (please explain)		
2.7		checked one of the Biomass facilities boxes in Section 2.1 above, please respond following:		
	A.	Please specify the fuel or fuels used or to be used in the Unit:		
	В.	Please complete and attach Appendix F, Eligible Biomass Fuel Source Plan.  Appendix F completed and attached?  Yes  No  N/A		

2.8			been certified as a R portfolio standard?	enewable Ener	gy Resour	ce for eli	gibility in
	Yes	☐ No	If yes, please attac	ch a copy of th	at state's o	ertifying	order.
	V		g order attached?		Yes	□ No	□ N/A
SEC'	TION III: Co	ommercial C	<b>Operation Date</b>				
Pleas	e provide docu	imentation to	support all claims	and responses	to the follo	owing que	estions:
3.1	Date General site.	tion Unit firs	st entered Commerci	al Operation:	1013	1/07	at the
3.2	Is there an E	Existing Rene	ewable Energy Reso	urce located at	the site of	f Generat	ion Unit?
	Yes No						
3.3	checked "Ye	es" in respon	ponse to question 3. ase to question 3.2 about attached?		mplete Ap	opendix C	
3.4	Was all or a		e Generation Unit us y other site?	sed on or befor	e Decemb	er 31, 199	97 to
	☐ Yes No						
3.5	equipment us	sed and the a	question 3.4 above, publication displays the displays the displays displays the space pro-	ower production	on equipm		
SEC'	TION IV: Me	etering				a)	
4.1	that apply): ISO-NE Self-repo	Market Sett	Generation Unit's el lement System NEPOOL GIS Admi below and see App	nistrator			
	Appendix	x D complete	ed and attached?		☐ Yes	□ No	□ N/A

#### **SECTION V: Location**

5.1	Please check one of the following that apply to the Generation Unit:
	Grid Connected Generation  Off-Grid Generation (not connected to a utility transmission or distribution system)  Customer Sited Generation (interconnected on the end-use customer side of the retail electricity meter in such a manner that it displaces all or part of the metered consumption of the end-use customer)
5.2	Generation Unit address: Munnsville Wind Farm 3534 Route 12B, Bouckville, NY 13310
5.3	Please provide the Generation Unit's geographic location information:
	A. Universal Transverse Mercator Coordinates:
	B. Longitude/Latitude: <b>75-34-10.94W</b> / <b>42-54-31.05N</b>
5.4	The Generation Unit located: (please check the appropriate box)
	☐ In the NEPOOL control area ☐ In a control area adjacent to the NEPOOL control area ☐ In a control area other than NEPOOL which is not adjacent to the NEPOOL control area ← If you checked this box, then the generator does not qualify for the RI RES – therefore, please do not complete/submit this form.
5.5	If you checked "In a control area adjacent to the NEPOOL control area" in Section 5.4 above, please complete Appendix E.
	Appendix E completed and attached? Yes • No • N/A

#### **SECTION VI: Certification**

5.1	Please attach documentation, using one of the applicable fauthority of the Authorized Representative indicated in Sethis Application.	forms below ction 1.8 to	w, demon	strating the
	Corporations			
	If the Owner or Operator is a corporation, the Authorized shall provide <b>either</b> :	Representa	ative	
	(a) Evidence of a board of directors vote granting authorit Representative to execute the Renewable Energy Reso	y to the Avources Elig	uthorized ibility Fo	rm, or
	(b) A certification from the Corporate Clerk or Secretary of Authorized Representative is authorized to execute the Eligibility Form or is otherwise authorized to legally be matters.	Renewab	le Energy	Resources
	Evidence of Board Vote provided?		□ No	
	Corporate Certification provided?	Yes	□ No	□ N/A
	<u>Individuals</u>			
	If the Owner or Operator is an individual, that individual sattach APPENDIX A, or a similar form of certification from Operator, duly notarized, that certifies that the Authorized authority to execute the Renewable Energy Resources Elig	om the Own Represent	ner or ative has	
	Appendix A completed and attached?	☐ Yes	□ No	N/A
	Non-Corporate Entities			
	(Proprietorships, Partnerships, Cooperatives, etc.) If the Cindividual or a corporation, it shall complete and attach A resolution indicating that the Authorized Representative nauthority to execute the Renewable Energy Resources Eliglically bind the non-corporate entity in like matters.	PPENDIX amed in Se	B or exection 1.8	cute a has
	Appendix B completed and attached?	☐ Yes	□ No	□ N/A

#### 6.2 Authorized Representative Certification and Signature:

I hereby certify, under pains and penalties of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all information submitted on this Renewable Energy Resources Eligibility Form. The Renewable Energy Resources Eligibility Form includes the Standard Application Form and all required Appendices and attachments. I acknowledge that the Generation Unit is obligated to and will notify the Commission promptly in the event of a change in a generator's eligibility status (including, without limitation, the status of the air permits) and that when and if, in the Commission's opinion, after due consideration, there is a material change in the characteristics of a Generation Unit or its fuel stream that could alter its eligibility, such Generation Unit must be re-certified in accordance with Section 9.0 of the RES Regulations. I further acknowledge that the Generation Unit is obligated to and will file such quarterly or other reports as required by the Regulations and the Commission in its certification order. I understand that the Generation Unit will be immediately de-certified if it fails to file such reports.

Signature of Authorized Representative:

SIGNATURE:	DATE:
An Trankler	12.11-07
Vice Dresident Operation	
(Title)	

GIS	Certification	#:
-----	---------------	----

#### **APPENDIX E**

(Required of all Applicants Located in a Control Area Adjacent to NEPOOL)

#### STATE OF RHODE ISLAND PUBLIC UTILITIES COMMISION

#### RENEWABLE ENERGY RESOURCES ELIGIBILITY FORM

Pursuant to the Renewable Energy Act Section 39-26-1 et. seq. of the General Laws of Rhode Island

	Section 39-20-1 et. seq. of the General Laws of Rhode Island
Pleas	e complete the following and attach documentation, as necessary to support all responses:
E.1	Please indicate in which Control Area adjacent to NEPOOL the Generation Unit is located:
	New York  Hydro Quebec  Maritimes (including Northern Maine Independent System Administrator)
E.2	Applicant must provide to the Commission by July 1 <sup>st</sup> of each year assurances that the Generation Unit's New Renewable Energy Resources used for compliance with the Rhode Island's Renewable Energy Act during the previous Compliance Year have not otherwise been, nor will be, sold, retired, claimed or represented as part of electrical energy output or sales, or used to satisfy obligations in jurisdictions other than Rhode Island. Such assurances may consist of a report from a neighboring Generation Attribute accounting system or an affidavit from the Generation Unit.
	☐ ← please check this box to acknowledge this requirement
	□ N/A or other (please explain)
E.3	Applicant must acknowledge and provide evidence to support that, in accordance with Section 5.1.(ii) of the RES Regulations, the Generation Attributes associated with the Generation Unit shall be applied to the Rhode Island Renewable Energy Standard only to the extent of the energy produced by the Generation Unit that is or will be actually delivered into NEPOOL for consumption by New England customers. Verification of the delivery of such energy from the Generation Unit into NEPOOL will performed in accordance with subparagraphs (a), (b) and (c) of RES Regulations Section 5.1.(ii).
	please check this box to acknowledge this requirement
	□ N/A or other (please explain)



# COMMONWEALTH OF MASSACHUSETTS EXECUTIVE OFFICE OF ENERGY AND ENVIRONMENTAL AFFAIRS DIVISION OF ENERGY RESOURCES

100 CAMBRIDGE ST., SUITE 1020 BOSTON, MA 02114

> Internet: www.Mass.Gov/DOER Email: Energy@State.MA.US

Deval L. Patrick Governor

Timothy P. Murray Lieutenant Governor

Ian A. Bowles
Secretary, Executive Office of Energy
and Environmental Affairs

Philip Giudice Commissioner TELEPHONE 617-727-4732

FACSIMILE 617-727-0030 617-727-0093

August 20, 2007

John Franklin
Vice President, Operations
Airtricity, Inc.
812 San Antonio Street, Suite 201
Austin, TX 78701

RE: RPS Eligibility Decision

Munnsville Wind Farm [WD-1078-07]

34.5 MW in Bouckville, NY

Dear Mr. Franklin,

On behalf of the Division of Energy Resources (the Division), I am pleased to inform you that your Application for Statement of Qualification pursuant to the Massachusetts Renewable Energy Portfolio Standard (RPS) Regulations, 225 CMR 14.00, is hereby approved. The Division finds that the Generation Unit meets the requirements for eligibility as a New Renewable Generation Unit pursuant to 225 CMR 14.05.

Qualification of this Generation Unit is, however, subject to certain conditions that are detailed in the enclosed Statement of Qualification. Those conditions are based on provisions of the RPS Regulations at 225 CMR 14.05(5) that pertain to any Generation Unit that is located outside of the ISO New England Control Area.

In addition, please note that, when a NEPOOL GIS Import identification number is assigned to the Unit, you must inform the Division's RPS Program Manager of that number.

Each Massachusetts New Renewable Generation Unit is also assigned a unique Massachusetts RPS Identification Number (MA RPS ID#). The MA RPS ID # stated on the Statement of Qualification must be included in all correspondence with the Division. Munnsville Wind Farm's MA RPS ID# is <u>WD-1078-07</u>.

The Division wishes to remind you of the notification requirements for changes in eligibility status contained in 225 CMR 14.06(3). The Owner or Operator of the Generation Unit shall submit notification of such changes to the Division no later than five days following the end of the month during which such changes were implemented. Also please inform the Division of any changes in capacity, contact information, and identity of the Owner or Operator.

Finally, the Division wishes to remind you to be cognizant of the Operating Rules and the reporting requirements of the NEPOOL GIS, which may be amended from time to time, and compliance with which may affect the RPS qualification of your Generation Unit's GIS certificates.

If you have any questions or concerns about the Statement of Qualification or any aspect of the RPS program, please contact Howard Bernstein, RPS Program Manager, at the Division's address, or (617) 727-4732, ext. 40155, or <a href="https://doi.org/10.1007/journal.org/">https://doi.org/10.1007/journal.org/</a>

Sincerely,

Robert Sydney General Counsel

Encl: Statement of Qualification

## COMMONWEALTH OF MASSACHUSETTS EXECUTIVE OFFICE OF ENERGY AND ENVIRONMENTAL AFFAIRS DIVISION OF ENERGY RESOURCES

#### **Statement of Qualification**

### Pursuant to the Renewable Energy Portfolio Standard 225 CMR 14.00

This Statement of Qualification, provided by the Massachusetts Division of Energy Resources (the Division), signifies that the Generation Unit identified below meets the requirements for eligibility as a New Renewable Generation Unit, pursuant to the Renewable Energy Portfolio Standard 225 CMR 14.05, as of the approval date of the Application for Statement of Qualification, this 20<sup>th</sup> day of August 2007.

Generation Unit Name, Location, and Capacity:

Munnsville Windfarm Bouckville, NY

34.5 MW

Authorized Representative's Name and Address:

John Franklin
Vice President, Operations
Airtricity, Inc.
812 San Antonio Street, Suite 201
Austin, TX 78701

Qualification of this Generation Unit is subject to the following provisions:

- 1. The Generation Unit Owner, Operator, or authorized agent shall provide to the Division by July 1st of each year a certification that the Generation Unit's New Renewable Generation Attributes used for compliance with the Massachusetts Renewable Energy Portfolio Standard during the previous Compliance Year have not otherwise been, nor will be, sold, retired, claimed or represented as part of electricity output or sales, or used to satisfy obligations in jurisdictions other than Massachusetts.
- 2. The portion of the total electrical energy output that qualifies as New Renewable Generation in a given time period shall meet the requirements of Rule 2.7 (c) of the NEPOOL GIS Operating Rules, or any successor rule, and Generation Unit Owner, Operator, or authorized agent must provide the following:
  - (a) Documentation, satisfactory to the Division, of a contract or other legally enforceable obligation(s) (Legal Obligations) that is executed between the Generation Unit Owner, Operator, or authorized agent and an electrical energy purchaser located in the ISO-NE Control Area for delivery of the Unit's electrical energy to the ISO-NE Control Area. Such documentation shall also include proof of associated transmission rights for delivery of the Unit's electrical energy from the Unit through the adjacent Control Area to the ISO-NE Control Area.

- (b) Documentation, satisfactory to the Division, that:
  - 1. the electrical energy delivered pursuant to the Legal Obligation was settled in the ISO-NE Settlement Market System;
  - 2. the Generation Unit produced, during each hour of the applicable month, the amount of MWhs claimed, as verified by the NEPOOL GIS administrator; if the originating Control Area employs a Generation Information System that is comparable to the NEPOOL GIS, such system may be used to support such documentation;
  - 3. the electrical energy delivered under the Legal Obligation received a North American Electric Reliability Council Tag (NERC Tag) confirming transmission from the originating Control Area to the ISO-NE Control Area; and
  - 4. the New Renewable Generation Attributes have not otherwise been, nor will be, sold, retired, claimed, used or represented as part of electrical energy output or sales, or used to satisfy obligations in jurisdictions other than Massachusetts.

This Unit's NEPOOL-GIS Identification Number is:

#### (pending)

The Owner, Operator, or authorized agent of the New Renewable Generation Unit is responsible for expeditiously informing the Division of the NEPOOL-GIS Identification Number upon its assignment by the NEPOOL-GIS Administrator.

This New Renewable Generation Unit is assigned a unique Massachusetts RPS Identification Number, listed below. Please include MA RPS ID #s on all correspondence with DOER.

#### MA RPS ID #: WD-1078-07

Pursuant to 225 CMR 14.06, the Owner, Operator, or authorized agent of the New Renewable Generation Unit is responsible for notifying the Division of any changes in the characteristics of the Generation Unit that could affect its eligibility status. The Owner, Operator, or authorized agent of the Generation Unit is also responsible for notifying the Division of any changes in the Unit's ownership, generation capacity, or contact information. The Division may suspend or revoke this Statement of Qualification if the Owner, Operator, or authorized agent of a New Renewable Generation Unit fails to comply with 225 CMR 14.00, including the provisions of this Statement of Qualification.

Philip Giudice Commissioner

Division of Energy Resources



401 North Michigan Avenue, Suite 1720, Chicago IL 60611, USA Tel +1 312 923 9463 Fax +1 312 923 9469 Website www.airtricity.com

#### Airtricity Munnsville Wind Farm LLC

John Franklin is hereby authorized to execute the Renewable Energy Resources Eligibility Form pursuant to the Renewable Energy Act Section 39-26-1et seq, and is otherwise authorized to legally bind the corporation in like matters.

Robert Bellingham

Secretary, Airtricity Munnsville Wind Farm LLC

