

JACK BEVINS VICE PRESIDENT OPERATIONS (732) 872-2600, EXT. 1986 JACK.BEVINS@SEASTREAK.COM

June 3, 2016

Ms. Melissa Daley State of Rhode Island Division of Public Utilities and Carriers Motor Carriers Section 89 Jefferson Blvd. Warwick, RI 02888 RECEIVED
2016 JUN -6 PH 3: 09
PUBLIC UTILITIES COMMISSION

APPLICATION OF SEASTREAK, LLC REQUESTING AUTHORITY TO OPERATE AS WATER CARRIER

Dear Ms. Daley,

Further to our discussion of earlier today with Terry Mercer, enclosed please find SeaStreak, LLC's application requesting authority to operate as a water carrier for the Rhode Island Department of Transportation in seasonal service between Providence and Newport.

We are still working on gathering "place of birth" details and copies of driver's licenses for our principals. However, given the anticipated start date of July 1st, Mr. Mercer suggested that we submit the application now and follow up by providing any outstanding information prior to the hearing.

As a point of clarification, the applicant is SeaStreak, LLC, which is a wholly owned subsidiary of SeaStreak Holding Company, LLC (f.k.a. New England Fast Ferry Company, LLC). I point that out for several reasons. First, New England Fast Ferry Company, LLC applied for and was issued a license for the exact same service back in 2003. Second, as



2 FIRST AVENUE ATLANTIC HIGHLANDS, NJ 07716 Ms. Melissa Daley June 3, 2016

SeaStreak, LLC does not have any individual owners, we have included information on the individuals that own SeaStreak, LLC's parent company.

We will supplement this application with the additional driver's license photos and an updated "place of birth" listing once I can gather the information. Please do not hesitate to call or email should you have any questions or require additional information.

Sincerely,

SEASTREAK, LLC

Jack Revins

REQUEST FOR A	UTHORITY TO OPE	RATE AS JITNEY AND/OR W	ATER CARRIER
APPLICATION #_		DOCKET#	
CHECK/MONEY O	ORDER #	ISSUING BANK	
******	*****(above is for off	ice use only)***********	oke oke oke oke oke oke
Chapter 39-3. The	Applicant must prove the	apter 39-13. Water Carriers are go at it is <u>fit, willing and able</u> to perfo ence and necessity require a new j	orm the services for which
APPLICATION FO	R: (check all that apply))	
		over a regular route or between fix- ing proposed termini and/or route.	ed termini.
Authorit Please attach a comp	y to operate as a water v prehensive plan identify	vessel passenger carrier authority. ing proposed termini and route.	
1. APPLICATION	OF: SeaSt	reak, LLC al, partnership, corporation or busi	ness)
Business address:	2 First. Au	ર.	
Mailing address:	Atlantic H	tighlands, N.J.O'	77116
Telephone number:	ext.1	Federal ID Number: 26	-204013
2. Names and addre	esses of all partners, off	icers and directors:	, 2° "
see atta	ched		
			JUN -6 AN 10: 08 VISION OF P.U.X. OTOR CARRIERS ROVIDENCE, R.T.
			•

	e applicant(s), partners, officers or directors has/have experienced a change of esulting from marriage, legal name change, etc., state the details of the name chan	100
name, re	solding from marriage, legal name change, etc., state the details of the name chan	igu
GENER	AL FITNESS QUESTIONS:	
	a legal citizen of the United States? <u>Yes</u> . If not, please attach documenta mmigration status.	atic
common	applicant(s), partners, officers and/or directors ever previously applied for a carrier certificate from the DPUC? If yes, what type of certificate ested and what was the outcome of the application request?	
The	applicant is wholly owned by Seastreak	
	ling Company, LLC f.k.a. New England Forst	-
	Company LLC, which was granted a certificate the same service in 2003.	
Have the	applicant(s), partners, officers and/or directors ever been charged with sted of any criminal offense, either state or federal? If yes, explain.	
<u> </u>	<u> </u>	
(************************************		
	applicant(s), partners, officers and/or directors been charged with a traffic within the last six (6) years? If yes, explain.	
	e other than routine speed related	

Describe the motor vehicle(s)/vessel(s) to be operated by the applicant(s) in this business	\$:
see attached description of service and	
vessel	
Describe any experience the applicant possesses in the industry:	
see attached description of expenence	> ~
FINANCIAL FITNESS QUESTIONS - Answers must be accurate as of the date of filing. (You	may choose to
attach a financial statement in addition to answering these questions.)	
Assets:	
Cash on hand:	
VESSEL— Total value of motor vehicle(s) to be operated in this business:	
Total value of other property (buildings, etc.):	
Total value of investments, etc.:	
Total of accounts receivable:	
Liabilities:	
-	
Total of outstanding business loans:	

<u>OATH</u>

1 (We) Jack Brows		
being duly sworn, state that I am (We are) qualifithat I (We) have carefully examined all the staten that all such statements and answers set forth he knowledge and belief.	nents and answers contained in the applicat	ion and
·	Applicant(s) before Notary Public	
Subscribed and Sworn to before me at ATLA New Dersey, this	day of Jene , 2016 HERBERT BIRMAN	A = A + A + A + A + A + A + A + A + A +
HERBERT BIRMAN ID # 2330065 NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires June 28, 2020	Printed name of Notary Public Signature of Notary Public My commission expires: 6/28/20	

RELEASE AND WAIVER FORM

Seastreak, LCC, by and through its
officers listed on the attached document
Print or type <u>name</u> , <u>date of birth</u> and <u>place of birth</u> of applicant (if an individual), or of all office holders (if applicant is a corporation, partnership, etc.)
I (we) are seeking certification as a common carrier in Rhode Island. I (we) hereby direct and authorize the Division of Criminal Identification of the Attorney General's Office for the State of Rhode Island to make available to the Division of Public Utilities and Carriers any information on file in reference to me (us.)
I (we) hereby release the Division of Public Utilities and Carriers, the State of Rhode Island, and the Division of Criminal Identification of the Attorney General's Office for the State of Rhode Island, collectively and individually, from all legal responsibility or liability that may arise from the release of such criminal records, and I (we) hereby waive all rights of action in both law and equity which I may not have or later acquire as the result of the release of such criminal records.
Signature of Applicant(s) before Notary Public
Subscribed and Sworn before me in Rhode Island, this 3rd day of June, 2016
ID # 2330065 NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires June 28, 2020 Printed name of Notary Public Value Printed name of Notary Public
Signature of Notary Public
My commission expires: 6/28/20

James
Karen
Mark
Paul
Dorothy
Edward
Susan
Tracy
Thomas
John
Herbert
William SEASTREAK HOLDING COMPANY, LLC First Name MI Last Name R Barker
A Barker
B Marcou
W Barker
R Tregurtha
T Croskey
J Tregurtha
T Child
T Child
M Wynne
J Bevins
Birman
Thornton Street Address Ę City State Zip Birth Date SS#'s Place of Birth Member; Chairman
Member; President
Member
Controller
Chief Financial Officer Ownership/Position with SeaStreak, LLC

Social Security Disclosure/Release Form

Provide the Social Security Number of the applicant(s), partners, officers and/edirectors:)r
see attached	

SOCIAL SECURITY NUMBER PRIVACY DISCLOSURE STATEMENT

Providing your Social Security Number to the Division is not mandatory. You may legally refuse to comply with this request. Whether you agree to disclose your Social Security Number is entirely a <u>voluntary</u> decision.

The Division is charged with the responsibility of determining whether you are "fit" before it is able to grant you the authority being requested through the instant application. The basis of this requirement is contained in the following Sections of the Rhode Island General Laws: §39-1-1, §39-1-15, §39-1-38, §39-3-2, §39-12-4, §39-12-5, §39-12-7, §39-12-32, §39-13-2, §39-14-2, §39-14-1, § 39-14-12, §39-14-18.

The Division has requested that you provide it with your Social Security Number so that it may better evaluate your "fitness" with regard to the regulatory authority you seek. The "fitness" evaluation includes a criminal background and a legal residency investigation. The Division may also employ other means to conduct the fitness evaluation. Providing your Social Security Number to the Division will help expedite the evaluation process.

Your Social Security Number will also be used as a unique internal identifying number.

SOCIAL SECURITY NUMBERS WILL NOT BE OPEN FOR PUBLIC INSPECTION.

*THIS SHEET TO BE DETACHED BY DIVISION PERSONNEL AND MAINTAINED AS CONFIDENTIAL DOCUMENT

SeaStreak, LLC

NARRAGANSETT BAY PASSENGER FERRY SHUTTLE SERVICE SUMMARY AND EXPERIENCE



SeaStreak, in response to the RI Department of Transportation's RFP/Bid #7550576, submitted a proposal to operate seasonal ferry service between Providence, Rhode Island and Newport, Rhode Island. The service will offer four (4) round trips per day on weekends and holidays, and three (3) round trips per day on weekdays.

For year 2016, the service would operate from July 1, 2016 through September 5, 2016. In later years, the service would start earlier in the spring (perhaps in May), and would operate later into the fall (perhaps October).

SeaStreak will utilize RIPTA-Class Catamaran ferry, named M/V Ocean State, that was constructed for this very service. The vessel would travel between Providence and Newport in approximately 45 minutes, and was designed to afford passengers with comfort through enhanced stability, while creating less wake than traditional vessels. The vessel is capable of traditional bow loading and side loading.

The service will offer approximate travel times from Providence of 55 minutes to Newport over a 22.5 nautical mile route (one way) offering considerable time savings over competing auto, rail and bus modes of transportation. The ferry service will offer Rhode Island residents and tourists a safe and reliable means of transportation to and from Providence/Newport.

EXPERIENCE

SeaStreak, LLC is a leader in revitalizing fast ferry service in the New York metropolitan area and offers high speed catamaran service to points in Manhattan from two points in New Jersey with a fleet of seven vessels ranging in capacity from 149 passengers to 500 passengers. SeaStreak and its predecessor has been operating in the high speed passenger ferry business for more than fifteen years.

SeaStreak, LLC provides daily year-round ferry services from Atlantic Highlands and Highlands, NJ, to Pier 11 Wall Street, East 35th Street, and shuttle service to the World Financial Center. In addition to commuter services, SeaStreak, LLC offers an array of special events and sightseeing cruises year round, including trips to Martha's Vineyard, Nantucket, Sandy Hook Beach, Yankee and Mets baseball games, and various day cruises up the Hudson River during the fall.

Each of our double-hulled catamarans features indoor and outdoor decks with panoramic windows offering amazing New York City skyline views. Even though many of our luxurious vessels are capable of carrying up to 500 passengers, our customers are kept comfortable with climate controlled interiors, plenty of indoor and outdoor seating, multiple restrooms, and a cash bar on all ferry sailings providing beer, wine, spirits, soft drinks, and pre-packaged snacks. In addition, our vessels were designed with both stability and speed in mind, so you can expect a smooth ride while traveling at up to 38 knots (44 mph).

In addition to our New York operations, SeaStreak, LLC operates a seasonal ferry service (May through October) between New Bedford, Massachusetts and Nantucket and Martha's Vineyard. The Martha's Vineyard division operates daily service utilizing one high-speed catamaran ferry with capacity of up to 149 passengers.

SeaStreak, formerly known as New England Fast Ferry Company, is a sister company of Moran Towing Co., The Interlake Steamship Company, and Mormac Marine Group, Inc. Moran is the largest tug/barge operator on the East and Gulf Coasts. The Interlake Steamship Company owns and operates 9 self-unloading bulk vessels on the Great Lakes.

SeaStreak and its sister companies are owned by the Barker and Tregurtha Families. The founders of the companies are James R. Barker, who acts as the Chairman of SeaStreak, and Paul R. Tregurtha, who is the Chairman and CEO of Moran Towing.

SeaStreak has operated the following subsidized services over the past three years:

- Service for the New Jersey DOT between Monmouth County, NJ and Hudson County, NJ, which is ongoing at this time.
- Service for the New York City Economic Development Corporation between Manhattan and Rockaways, Queens following Hurricane Sandy. That service ended after train service was restored and the subsidy ended.